

Computing Bits of Algebraic Numbers

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Abstract

We initiate the complexity theoretic study of the problem of computing the bits of (real) algebraic numbers. This extends the work of Yap on computing the bits of transcendental numbers like π , in Logspace.

Our main result is that computing a bit of a fixed real algebraic number is in $C=NC^1 \subseteq L$ when the bit position has a verbose (unary) representation and in the counting hierarchy when it has a succinct (binary) representation.

Our tools are drawn from elementary analysis and numerical analysis, and include the Newton-Raphson method. The proof of our main result is entirely elementary, preferring to use the elementary Liouville's theorem over the much deeper Roth's theorem for algebraic numbers.

We leave the possibility of proving non-trivial lower bounds for the problem of computing the bits of an algebraic number given the bit position in binary, as our main open question. In this direction we show very limited progress by proving a lower bound for *rationals*.

1 Introduction

Algebraic numbers are (real or complex) roots of finite degree polynomials with integer coefficients. Needless to say, they are fundamental objects and play an important part in all of Mathematics.

The equivalence between reals with recurring binary expansions (or expansions in any positive integral radix) and rationals is easy to observe. Thus computing the bits of fixed rationals is computationally uninteresting. However, the problem becomes interesting if we focus on irrational real numbers. Computability of such numbers heralded the birth of Computer Science in Turing's landmark paper [15] where the computability of the digits of irrationals like π, e is first addressed.

Building on the surprising BaileyBorweinPlouffe (BBP) formula [5] for π , Yap [18] shows that certain transcendental numbers such as π have binary expansions computable in a small complexity class like deterministic logarithmic space. Motivated by this result we seek to answer the corresponding question for algebraic numbers. The answers we get turn out to be unsatisfactory but intriguing in many respects. In a nutshell, we are able to show only a very weak upper bound to the “succinct” version of the problem and virtually no lower bounds. This gap between best known (at least to our knowledge) upper and lower bounds easily beats other old hard-to-crack chestnuts such as graph isomorphism and integer factorization.

1.1 Versions of the Problem

The problem as stated in [18] asks for the n -th bit of the (infinite) binary sequence of an irrational real given n in *unary*. The succinct version of the problem asks for the n -th bit, given n in *binary*. This version of the problem is naturally much harder than the “verbose” version. We can solve the verbose version in $C=NC^1$, a subclass of logspace. For the succinct version of the problem we are unable to prove a deterministic polynomial or even a non-deterministic polynomial upper bound. The best we can do is place it at a finite level in the counting hierarchy (which includes the computation of the permanent at its first level). Even more surprising is that we are unable to prove any non-trivial lower bound for any irrational algebraic number. Intriguingly, we can prove Parity and in general $AC^0[p]$ lower bounds for computing specific *rationals*.

1.2 Previous Proof Techniques

In his article [18], Yap used a BBP like [4] series to prove a logspace upper bound for the (verbose version of) computing the bits of π . At the core of that argument is the concept of bounded irrationality measure of π which intuitively measures how inapproximable π is, by rationals. Roughly, the BBP-like series was used to approximate π by rationals and then argue, via the bounded irrationality measure, that, since there aren't too many good approximations to π , the computed one must match the actual expansion to lots of bit positions.

1.3 Our proof technique

Further progress was stymied by the extant ignorance of BBP like series for most well-known irrationals. Our crucial observation is that approximating an irrational can be accomplished by means other than a BBP-like series for instance by using Newton-Raphson. Bounded irrationality measure for algebraic numbers follows by a deep theorem of Roth [13]. But we show that we can keep our proof elementary by replacing Roth's theorem by Liouville's Theorem [8] which has a simple and elementary proof (see e.g. [14]).

An upper bound on the succinct version of the problem follows by observing that Newton Raphson can be viewed as approximating the algebraic number by a rational which is the ratio of two *Straight Line Programs* or *SLP*'s. Allender et al. [2] show how to compute bits of a single SLP in the Counting Hierarchy. We extend their proof technique to solve the problem of computing a bit of the ratio of two SLP's in the Counting Hierarchy.

1.4 Related Work and Our Results

Yap [18] showed that the bits of π are in Logspace. This was the origin of this endeavour and we are able to refine his result to the following:

Theorem 1 *Let α be a real number with bounded irrationality measure, which can be expressed as a convergent series and further the m^{th} term (for input m in unary) is in FTC^0 . Then the n^{th} bit of α can be computed by a TC^0 circuit for n in unary and in PH^{PPPP} for n in binary.*

In particular, the above inclusions hold for π .

Somewhat paradoxically we get slightly weaker bounds for algebraic numbers. As our main result, we are able to show that (for an explanation of the complexity classes used in the statement please see the next section):

Theorem 2 *Let p be a fixed univariate polynomial of degree d , having integer coefficients. Then, n^{th} bit of each real root of p can be computed in $\text{C=NC}^1 \cap \text{TCLL}$, if n is given in unary, and in PH^{PPPP} if n is given in binary.*

Roughly twenty five years ago, Ben-Or, Feig, Kozen and Tiwari [7] studied the problem of finding the roots of a univariate polynomial of degree n and m bit coefficients, under the promise that *all roots are real*. Under this assumption they are able to show that approximating the roots to an additive error of $2^{-\mu}$ for an integer μ (which is presumably specified in unary) is in NC . Notice that while their result concerns non-constant algebraic numbers it does not involve finding the bits of the algebraic numbers only approximating them. Also, since they only achieve unary tolerance their claimed upper bound of NC is not better than the $\text{C=NC}^1 \subseteq \text{NC}$. Also their method does not work if the all-real-roots promise is not satisfied.

1.5 Organization of the paper

In Section 2 we start with pointers to relevant complexity classes and more importantly known results from elementary analysis that we will need in our proofs. In Section 3 we provide upper bounds on the complexity of composing bivariate polynomials. This is used in the subsequent Section 4 where we view Newton-Raphson as an iterated composition of bivariate polynomials. In this section we prove that the method converges “quickly” if its initial point is in a carefully picked interval and that we can efficiently identify such intervals. In Section 5 we make use of the tools we have put together in the previous sections to prove Theorem 1, 2. We also prove a lower bound on rationals in this section. Finally in Section 6 we conclude with some open questions.

2 Preliminaries

2.1 Complexity Theoretic Preliminaries

We start off by introducing straight line programs. An arithmetic circuit is a directed acyclic graph with input nodes labeled with the constants 0, 1 or with indeterminates X_1, \dots, X_k for some k . Internal nodes are labeled with one of the operations $+$, $-$, $*$. A *straight-line program* is a sequence of instructions corresponding to a sequential evaluation of an arithmetic circuit. We will need to refer to standard complexity classes like NP, PP, PH and we refer the reader to any standard text in complexity such as [3]. We will also use circuit complexity classes like TC^0 , GapNC^1 and we refer the reader to [16] for details.

One non-standard class we use is TCLL. This is inspired by the class FOLL introduced in [6] which is essentially the class of languages accepted by a uniform version of an FAC^0 circuit iterated $O(\log \log n)$ many times with an AC^0 -circuit on top. We obtain a TCLL-circuit by adding a TC^0 -circuit on top of the iterated block of FAC^0 -circuits. The class of languages accepted by such circuits constitutes TCLL.

2.2 Mathematical Preliminaries

In order to upper bound the largest magnitude of roots of a polynomial we note the following (e.g. see Chapter 6 Section 2 of [17]):

Fact 1 (*Cauchy*) Let $p(x) = \sum_{i=0}^d a_i x^i$ be a polynomial. Then every root of $p(x)$ is smaller in absolute value than:

$$M_p = 1 + \frac{1}{|a_d|} \max_{i=0}^{d-1} |a_i|$$

We can consider $[-M_p, M_p]$ as possible solution range which contains all the real roots.

Fact 2 The Taylor (see [1]) series of a real (complex) function $f(x)$ that is infinitely differentiable in a neighborhood of a real (complex) number a is the power series

$$\sum_{n=0}^{\infty} \frac{f^{(n)}(a)}{n!} (x - a)^n$$

where $f^{(n)}(a)$ denotes the n^{th} derivative of f evaluated at the point a .

We will need to lower bound the minimum distance between the roots of a polynomial or the so called *root separation*. We use the following version of the Davenport-Mahler theorem (see e.g. Corollary 29 (i) of Lecture VI from Yap [17] for details of notation and proof):

Fact 3 (*Davenport-Mahler*) The separation between the roots of a univariate polynomial $p(x)$ of degree d is at least:

$$\sqrt{3|\text{disc}(p)|} \|p\|_2^{-d+1} d^{-(d+2)/2}$$

Here, $\text{disc}(p)$ is the *discriminant* of p and $\|p\|_2$ is the 2-norm of the coefficients of p . Further notice that a lower bound approximation to this bound can be computed in FTC^0 for constant polynomials p (since it involves computing lower bound *approximations* for radicals and powers of constants and constant determinants).

We will also need an upper bound on the magnitude of the derivative of a univariate polynomial in open interval (a, b) . This is given by the so called Markoff's Theorem [11] (see also [12]):

Fact 4 Let $p(x)$ be a degree d polynomial satisfying,

$$\forall x \in (a, b), |p(x)| \leq M_{(a,b)}$$

Then the derivative $p'(x)$ satisfies:

$$\forall x \in (a, b), |p'(x)| \leq M'_{(a,b)} = \frac{d^2 M_{(a,b)}}{b-a}$$

2.2.1 Removing Rational and Repeated Roots

The rational roots of a polynomial can be dealt with the aid of the following, easy to see, fact:

Fact 5 The rational roots of a monic polynomial (i.e. highest degree coefficient is 1) with integer coefficients are integers.

To make $p(x) = \sum_{i=0}^d a_i x^i$ monic, just substitute $y = a_d x$ in $a_d^{d-1} p(x)$ to obtain a monic polynomial $q(y)$. Iterating over all integers in the range given by Fact 1 we can find and eliminate the integer roots of $q(y)$ and therefore the corresponding rational roots of $p(x)$.

In general, a polynomial will have repeated roots. If this is the case the repeated root will also be a root of the derivative. Thus it suffices to find the gcd g of the given polynomial p and its derivative p' , since we can recursively find the roots of p/g and g . As we will be focusing only on fixed polynomials the gcd computation and the division will be in FTC^0 .

We will actually need a more stringent condition on the polynomials - i.e. p does not share a root with its derivative p' and even with its double derivative p'' . Notice that the above procedure does not guarantee this. For example if $p(x) = x(x^2 - 1)$, then $p'(x) = 3x^2 - 1$ and $p''(x) = 6x$. Thus, p and p'' share a root but p and p' don't.

So we will follow an iterative procedure in which we find the gcd of p, p' to get two polynomials $p_1 = p/(p, p'), p_2 = (p, p')$ (denoting gcd of p, q by (p, q)). For p_1 we find the gcd (p_1, p_1') and set $p_3 = p_1/(p_1, p_1'), p_4 = (p_1, p_1')$. Now we recurse for the 3 polynomials p_2, p_3, p_4 (whose product is p). Notice that the recursion will bottom out when some gcd becomes a constant. As a result of the above discussion we can assume hereafter that p does not share a root with either p' or p'' .

2.2.2 Good Intervals

Definition 3 Fix an interval $I = [a, b]$ of length $|I| = b - a$. We will call the interval I good for an integral polynomial p if it contains exactly one root (say α) of p and no root of p' and p'' .

Lemma 4 If p is a polynomial of degree d such that p doesn't share a root with its derivative and double derivative then there exist δ such that all intervals of length less than δ contain at most one root of p and if they contain a root of p then they do not contain any roots of p' or p'' . As a consequence we can find good intervals I in FTC^0 .

Proof: Using Fact 3 compute δ i.e. the minimum distance between roots of p and that of $p'p''$. (let p_1, p_2 be p', p'' with the roots common with p'', p''' respectively, removed; then a lower bound on the root separation of pp_1p_2 , does the job). Partition the interval containing all the roots (which can be inferred from Fact 1) into sub-intervals of length δ . If such an interval contains a root of p then it contains precisely one root of p and no roots of p' or p'' . By checking that signs of p are opposite at the end points we can identify good intervals. ■

2.3 From approximation to exact computation

We will crucially use the following theorem (see e.g. Shidlovskii[14] or Yap [17])

Fact 6 (*Liouville's Theorem*) *If x is a real algebraic number of degree $d \geq 1$, then there exists a constant $c = c(x) > 0$ such that the following inequality holds for any $\alpha \in \mathbb{Z}$ and $\beta \in \mathbb{N}$, $\alpha/\beta \neq x$:*

$$\left| x - \frac{\alpha}{\beta} \right| > \frac{c}{\beta^d}$$

The rest of this subsection is an adaptation of the corresponding material in Chee Yap's paper on computing π in \mathbb{L} . The primary difference being that we choose to pick the elementary Liouville's Theorem for algebraic numbers instead of the advanced arguments required for bounding the irrationality measure of π . We could throughout replace the use of Liouville's theorem by the much stronger and deeper Roth's theorem but prefer not to do so in order to retain the elementary nature of the arguments.

Definition 5 *Let x be a real number. Let $\{x\} = x - \lfloor x \rfloor$ be the fractional part of x . Further, let $\{x\}_n = \{2^n x\}$ and x_n be the n -th bit after the binary point.*

It is clear that $x_n = 1$ iff $\{x\}_{n-1} \geq \frac{1}{2}$. For algebraic numbers we can sharpen this:

Lemma 6 (*Adapted from Yap[18]*) *Let x be an irrational algebraic number of degree d and let $c = c(x)$ be the constant guaranteed by Liouville's theorem. Let $\epsilon_n = c2^{-(d-1)n-2}$ then for n such that $\epsilon_n < \frac{1}{4}$ we have:*

- $x_n = 1$ iff $\{x\}_{n-1} \in (\frac{1}{2} + 2\epsilon_n, 1 - 2\epsilon_n)$.
- $x_n = 0$ iff $\{x\}_{n-1} \in (2\epsilon_n, \frac{1}{2} - 2\epsilon_n)$.

Proof: Taking $\beta = 2^n$ in Liouville's theorem we get: $|x - 2^{-n}\alpha| > \frac{c(x)}{2^{dn}}$ i.e., $|2^{n-1}x - \frac{\alpha}{2}| > \frac{c(x)}{2^{d(n-1)+1}} = 2\epsilon_n$. ■

Consequently, we can find successive approximations $\{S_m\}_{m \in \mathbb{N}}$ such that the error terms $R_m = x - S_m$ are small enough (as described below). x_n is just the n -th bit of S_m .

3 Complexity of Composition

We investigate the complexity of composing polynomials. This will be useful when we use the Newton-Raphson method to approximate roots of polynomials since Newton-Raphson can be viewed roughly as an algorithm that iteratively composes polynomials.

Definition 7 *A univariate polynomial with integer coefficients is, an integral polynomial. Any integral polynomial when evaluated on a rational value $\frac{\alpha}{\beta}$, where α, β are integers, can be expressed as the ratio of two bivariate polynomials in α, β called the ratio polynomials of the integral polynomial.*

Definition 8 *Let p be an integral polynomial. For a positive integer t , the t -composition of the polynomial denoted by $p^{[t]}$ is defined inductively as: $p^{[1]}(x) = p(x)$ and $p^{[t+1]}(x) = p^{[t]}(p(x))$.*

Definition 9 Let f, g be a pair of bivariate polynomials. For a positive integer t define the t -bicomposition of (f, g) to be the pair of bivariate polynomials $(F^{[t]}, G^{[t]})$ as follows:

$$F^{[1]}(\alpha, \beta) = f(\alpha, \beta), G^{[1]}(\alpha, \beta) = g(\alpha, \beta),$$

and,

$$\begin{aligned} F^{[t+1]}(\alpha, \beta) &= f(F^{[t]}(\alpha, \beta), G^{[t]}(\alpha, \beta)), \\ G^{[t+1]}(\alpha, \beta) &= g(F^{[t]}(\alpha, \beta), G^{[t]}(\alpha, \beta)), \end{aligned}$$

The following is a direct consequence of the definitions:

Proposition 10 The ratio polynomials of the t -composition of an integral polynomial p are exactly the t -bicompositions of the ratio polynomials of p .

Definition 11 For an arithmetic complexity class \mathcal{C} containing FTC^0 we call an integral polynomial \mathcal{C} -computable if its ratio polynomials (viewed as functions that take in the bit representations of its two (constant) arguments as inputs and output an integer value) are in \mathcal{C} .

Here we consider upper bounds on the complexity of computing compositions of fixed integral polynomials. We first prove that:

Lemma 12 Let p be a fixed integral polynomial, then given n in unary the l -bicomposition (for $l = O(\lceil \log n \rceil)$) of p is computable in GapNC^1 .

Proof: From Definition 11 and Proposition 10, it suffices to prove that the bicomposition of the ratio polynomials of p is computable in GapNC^1 . Given a rational number as the bits of its numerator and denominator we can first obtain the arithmetic values of its numerator and denominator in GapNC^1 . Now we are done modulo the following claim. ■

Claim 13 Let f be a fixed bivariate polynomial with integral coefficients and let α, β be two integers, then there is a constant depth arithmetic circuit that takes α, β as inputs and outputs $f(\alpha, \beta)$.

Proof:(of Claim) The depth of the circuit is seen to be bounded by $O(\lceil \log d \rceil)$ where d is the degree of the polynomial - we just need to find $\alpha^i \beta^j$ by a tree of height $\max(\lceil \log i \rceil, \lceil \log j \rceil) + 1$ multiply it with the coefficient and add up the results by a tree of depth $\lceil \log(d+1) \rceil$. Since degree is a constant we are done. ■

We now prove an orthogonal bound on the complexity of compositions. But before that we need a small lemma:

Lemma 14 Suppose G is a layered graph of width $O(n)$ and depth $O(\log n)$ then reachability (from a vertex in the first layer to a vertex in the last layer) can be done by an AC-circuit of depth $O(\log \log n)$

Proof: It suffices to show that the reachability between two layers which are separated by another layer is in AC^0 , which is clear. ■

Note 1 In fact, from the proof it is clear that, if G contains $O(\log n)$ identical layers then this reachability is in the class FOLL defined in [6].

Lemma 15 *Let p be a fixed integral polynomial, then given n in unary the l -bicomposition (for $l = O(\lceil \log n \rceil)$) of p is computable in TCLL .*

Proof: Notice that if α, β are some fixed integers, the value of the l -bicomposition of the ratio functions on α, β is bounded by an $n^{O(1)}$ bit integer. It suffices to compute the value of this composition modulo all $O(\log n)$ bit primes, since we can do Chinese Remaindering in TC^0 . Fix an $O(\log n)$ bit prime q and construct the following bipartite graph H_q on vertices S, T (where $|S| = |T| = q^2$) and both S, T consist of pairs $ab, a, b \in \{0, \dots, q-1\}$. $(ab, a'b')$ is an edge iff $f(a, b) \equiv a' \pmod q$ and $g(a, b) \equiv b' \pmod q$ where f, g are the ratio functions of p . Further, let G_q be the layered graph obtained by taking l layers of H_q . Then it is clear that reachability in G_q from the first to the last layer is exactly equivalent to the values of the l -compositions modulo q . We are done with the aid of Lemma 14 and [9]. ■

The following is a consequence of the definitions and of [9].

Lemma 16 *If p is an integral polynomial which is \mathcal{C} -computable ($\text{FTC}^0 \subseteq \mathcal{C}$), then on input m, n in unary, where $m > n$, we can obtain the n -th bit of some number that differs from $p(\frac{\alpha}{\beta})$, by at most 2^{-m} in \mathcal{C} .*

Now we describe the binary analog of the above lemma.

Note 2 *In the remaining part of this section we denote polynomially bounded integers by lower case letters e.g. n, t . We denote those with polynomial number of bits by uppercase letters e.g. N, T . Finally we denote those with exponentially many bits by calligraphic letters e.g. \mathcal{N}, \mathcal{D} . This notation does not apply to rationals like u, σ .*

Lemma 17 *Let \mathcal{N} and \mathcal{D} be the outputs of two SLP's. Computing the N^{th} (where N is input in binary) bit of an approximation (accurate up to an additive error of $2^{-(N+1)}$) of $\frac{\mathcal{N}}{\mathcal{D}}$ is in PH^{PPPP} .*

Proof: We will compute an under approximation of $\frac{\mathcal{N}}{\mathcal{D}}$ with error less than $2^{-(N+1)}$.

Let $u = 1 - \mathcal{D}2^{-T}$ where $T \geq 2$ is an integer such that $2^{T-1} \leq \mathcal{D} < 2^T$. Hence $|u| \leq \frac{1}{2}$.

Notice that the higher order bit of T can be found by using PosSLP : we just need to find an integer t such that $2^{2^t} \leq \mathcal{D} < 2^{2^{t+1}}$ and both these questions are PosSLP questions. Having found T_i a lower bound of T correct up to the higher order i bits of T , i.e. $2^{T_i} \leq \mathcal{D} < 2^{T_i+2^i}$, we check if $2^{T_i+2^{i-1}} \leq \mathcal{D}$ and update T_{i-1} to $T_i + 2^{i-1}$ iff the inequality holds (and $T_{i-1} = T_i$ otherwise). Thus by asking a polynomial number of PosSLP queries, we can determine T , so each bit of T is in PH^{PPPP} .

Now consider the series

$$\mathcal{D}^{-1} = 2^{-T}(1 - u)^{-1} = 2^{-T}(1 + u + u^2 + \dots)$$

Set $\mathcal{D}' = 2^{-T}(1 + u + u^2 + \dots u^{N+1})$, then

$$\mathcal{D}^{-1} - \mathcal{D}' \leq 2^{-T} \sum_{I > N+1} 2^{-I} < 2^{-(N+1)}$$

Now we need to compute N^{th} bit of

$$\frac{\mathcal{N}}{2^T} \sum_{I=0}^{N+1} \left(1 - \frac{\mathcal{D}}{2^T}\right)^I = \frac{1}{2^{(N+2)T}} \sum_{I=0}^{N+1} \mathcal{N}(2^T - \mathcal{D})^I 2^{(N+1-I)T} \quad (1)$$

We need to compute the $M = N + (N + 2)T^{th}$ bit of: $\mathcal{Y} = \sum_{I=0}^{N+1} \mathcal{N}(2^T - \mathcal{D})^I 2^{(N+1-I)T}$. Since each term in summation is large and there are exponentially many terms in summation, so we will do computation modulo small primes. Let \mathcal{Y}_I denote the I^{th} term of summation.

Let \mathcal{M}_n be the product of all odd primes less than 2^{n^2} . For such primes P let $H_{P,n}$ denote inverse of $\frac{\mathcal{M}_n}{P} \bmod P$. Any integer $0 \leq Y_I < \mathcal{M}_n$ can be represented uniquely as a list $(Y_{I,P})$, where P runs over the odd primes bounded by 2^{n^2} and $Y_{I,P} = \mathcal{Y}_I \bmod P$.

Define the family of approximation functions $app_n(\mathcal{Y})$ to be $\sum_P \sum_I Y_{I,P} H_{P,n} \sigma_{P,n}$ where $\sigma_{P,n}$ is the result of truncating the binary expansion of $\frac{1}{P}$ after 2^{n^4} bits. Notice that for sufficiently large n , and $\mathcal{Y} < \mathcal{M}_n$, $app_n(\mathcal{Y})$ is within $2^{-2^{n^3}} < 2^{-(N+1)}$ of $\mathcal{Y}/\mathcal{M}_n$ as in the proof of Theorem 4.2 of [2]. Continuing to emulate that proof further and using the Maciel-Therien (see [10]) circuit for

iterated addition, we get the same bound as for PosSLP in [2] viz. $\text{PH}^{\text{PP}^{\text{PP}}}$. Notice that we have a double summation instead of a single one in [2], yet it can be written out as a large summation and thus does not increase the depth of the circuit. ■

4 Establishing Quadratic Convergence

We use the famous Newton-Raphson method to approximate Algebraic Numbers. The treatment is tailored with our particular application in mind. There are some features in the proof (for instance a careful use of Markoff's result on lower bounding the derivative of a polynomial) which led us to prove the correctness and rate of convergence of the method from scratch rather than import it as a black-box.

Definition 18 (*Newton-Raphson*) *Given an integral polynomial p and a starting point x_0 , recursively define:*

$$x_{i+1} = x_i - \frac{p(x_i)}{p'(x_i)},$$

whenever x_i is defined and $p'(x_i)$ is non-zero.

Recall good intervals from Definition 3.

Definition 19 *Given a good interval I for an integral polynomial p , let ϵ_i denote the error in the i^{th} iteration of Newton-Raphson i.e. $\epsilon_i = |x_i - \alpha|$ when starting with $x_0 \in I$. Notice that ϵ_i is defined only when x_i is.*

Definition 20 *We say that Newton-Raphson converges quadratically (with parameter M) for an integral polynomial p whenever M is a non-negative real such that for any interval I which is good for p and of length at most $\min(\frac{1}{4M^2}, \frac{1}{4})$, it is the case that the errors at consecutive iterations (whenever both are defined) satisfy $\epsilon_{i+1} \leq M\epsilon_i^2$.*

The following Lemma shows that not only are the errors at all iterations defined under the assumptions of Lemma 20 but also, that, Newton-Raphson converges “quickly”.

Lemma 21 *If Newton-Raphson converges quadratically (with parameter M) for an integral polynomial p , then for every $i \geq 0$, the i^{th} iterand, x_i , is at distance at most $\min(\frac{1}{4M^2}, 2^{-2^{i/2}})$ from the unique root of p in any good interval I of length $|I| \leq \min(\frac{1}{4}, \frac{1}{4M^2})$. In particular, $x_i \in I$ for every $i \geq 0$.*

Proof: We proceed by induction on the number of iterations. For the base case, notice that x_0 is at distance at most $\epsilon_0 \leq \min(\frac{1}{4}, \frac{1}{4M^2})$ from the root.

Now assume that $\epsilon_i < \min(\frac{1}{4M^2}, 2^{-2^{i/2}})$. Then,

$$\begin{aligned} \epsilon_{i+1} &\leq M\epsilon_i^2 \\ &= (M\sqrt{\epsilon_i})\epsilon_i^{1.5} \\ &\leq (M\sqrt{\frac{1}{4M^2}})\epsilon_i^{1.5} \\ &= \frac{1}{2}\epsilon_i^{1.5} \\ &\leq 2^{-1}2^{-1.5 \times 2^{i/2}} \\ &< 2^{-\sqrt{2} \times 2^{i/2}} \\ &= 2^{-2^{(i+1)/2}} \end{aligned}$$

Since $\epsilon_{i+1} \leq \frac{1}{2}\epsilon_i^{1.5}$ and $\epsilon_i^{0.5} < \frac{1}{2^{2^{(i-1)/2}}} < 1$ for $i \geq 0$, therefore, $\epsilon_{i+1} < \epsilon_i < \frac{1}{4M^2}$ where the second inequality follows from the inductive assumption. This completes the proof of the inductive step. ■

Lemma 22 *For any integral polynomial p and any good interval I thereof, there exists a subinterval $I' \subseteq I$ such that Newton-Raphson converges quadratically in I' .*

Proof: Let the unique root of the integral polynomial p , contained in I , be α . Thus, $p(\alpha) = 0$. By Taylor's series

$$p(\alpha) = 0 = p(x_i) + (\alpha - x_i)p'(x_i) + \frac{1}{2}(\alpha - x_i)^2 p''(\xi_i)$$

where ξ_i is between x_i and α . Rearranging, and using the equation for x_{i+1}

$$\alpha - x_{i+1} = (\alpha - x_i) + \frac{p(x_i)}{p'(x_i)} = -\frac{1}{2} \frac{p''(\xi_i)}{p'(x_i)} (\alpha - x_i)^2$$

On the other hand, by Definition 19 the error in the $i + 1^{\text{th}}$ iteration of Newton-Raphson (whenever defined) is:

$$\epsilon_{i+1} = |x_{i+1} - \alpha| = \left| \frac{1}{2} \frac{p''(\xi_i)}{p'(x_i)} \right| \epsilon_i^2$$

Since p' does not have a root in that interval and p'' is finite (because p is a polynomial), the right hand side is well-defined.

In the good interval I , p' is monotonic and hence the minimum (and maximum) value of p' is attained at the end-points of I . Now we can upper bound the absolute value $|p''|$ using upper bound for p' and Markoff's result (Fact 4). Let this value be denoted by ρ_1 and the minimum value

of $|p'|$ by $\rho_2 \neq 0$ ($\rho_2 = 0$ would contradict the assumption that I does not contain a root of p'). Now, set M to be $\frac{\rho_1}{2\rho_2}$.

Partition I into sub-intervals of length $\frac{1}{4M^2}$ and let I' be the unique subinterval containing a root of p : i.e. the unique sub-interval such that p takes oppositely signed values at its end points. It is easy to see that Newton-Raphson converges quadratically (with parameter M) in I' . ■

5 Putting it all together

We now complete the proofs of Theorem 2 and Theorem 1.

Proof:(of Theorem 2) From Lemma 4 we can compute a good interval I . Then using Lemma 22 we can find a subinterval of I such that Newton-Raphson will converge quadratically in this interval.

Since Newton-Raphson converges quadratically, in order to obtain an inverse exponential error in terms of n , (By Lemma 21) we need $O(\lceil \log n \rceil)$ iterations. Now by Lemma 12 and 15, along with Lemma 16, we get that $O(\lceil \log n \rceil)$ compositions of Newton-Raphson (taking as initial point, the middle point of the interval I' obtained from Lemma 22) can be computed in $C=NC^1 \cap TCLL$. Finally Lemma 6 ensures that we have computed the correct bit value. The argument for the binary case is analogous and uses Lemma 17 instead of Lemmas 12, 15, and 16. ■

Proof: (of Theorem 1) Let α be a constant have series of the form $\alpha = \sum_{k=0}^{\infty} t_k = S_n + R_n$ where we have split the series into a finite sum $S_n = \sum_{k=0}^n t_k$ and a remainder series $R_n = \sum_{k=n+1}^{\infty} t_k$. Each term t_k of series can be written as a rational number of the form $t_k = \beta^{-kc} \frac{p(k)}{q(k)}$ where β is a real number $p(k), q(k)$ are fixed polynomial with integer coefficients and $c \geq 1$ is an integer.

This series consist of summation of iterated multiplication, division and addition which can be computed by TC^0 circuit. Since α has bounded irrationality measure so its n^{th} bit can be computed using Lemma 6. ■

Using the BBP-like series for π [4] and its bounded irrationality measure, we get:

Corollary 23 *Computing n^{th} bit of π is in TC^0 and $PH^{PP^{PP}}$, given n in unary and binary respectively.*

5.1 Lower Bound

Finally we show the Mod_p (for any odd prime p) hardness of the bits of a rational. We still don't have the proof of any kind of hardness of an irrational algebraic number.

Lemma 24 *For given a odd prime p and an integer X (having binary expansion $b_{n-1} \dots b_0$) then there exist an integer N , whose bits are constructible by Dlogtime uniform projections, and a fixed rational number Q such that N^{th} digit in binary expansion of Q is 0 iff $\sum_i b_i \equiv (0 \mod p)$.*

Proof: For a given odd prime p we can find a integer t , $0 < t < p$ such that $2^t \equiv 1(\mod p)$. Such a t exists because the multiplicative group of integers modulo p is finite. Consider the number $N = \sum_{i=0}^{n-1} b_i(2^t)^i$. Then, $N \equiv \sum_{i=0}^{n-1} b_i(\mod p)$, because $2^t \equiv 1(\mod p)$. Now, consider the sum: $Q = \sum_{N>0} \frac{N \mod p}{(2^t)^N} = \frac{2^t(2^{tp}-2^t p+p-1)}{(2^t-1)^2(2^{tp}-1)}$. The N^{th} digit of Q is 0 iff $\sum_i b_i \equiv 0 \mod p$. ■

6 Conclusion

We take the first step in the complexity of Algebraic Numbers. Many questions remain. We focus on fixed algebraic numbers - in general we could consider algebraic numbers defined by polynomials of varying degrees/coefficients. We have ignored complex algebraic numbers - they could present new challenges. Most importantly, our study is, at best, initial because of the enormous gap between lower bounds (virtually non-existent) and the upper bounds. Narrowing this gap is one of our future objectives.

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